

# Capital Market Strategies, LLC d/b/a Alpha Beta Gamma Wealth Management

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## PRIVACY POLICY

Investment Advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

### TYPES OF NONPUBLIC PERSONAL INFORMATION (NPI) WE COLLECT

We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your social security number, date of birth, banking information, financial account numbers and sources of income. When you are no longer our client, we may continue to share your information only as described in this notice.

### PARTIES TO WHOM WE DISCLOSE INFORMATION

All Investment Advisers may need to share personal information to run their everyday business. In the section below, we list the reasons we may share your personal information:

- ▶ For everyday business purposes such as to maintain your account(s) or to respond to court orders and subpoenas;
- ▶ For our non-affiliates' everyday business purposes such as for clearing and custody services and to generate performance reports;
- ▶ For affiliates to market to you.

If you are a new client we may begin sharing your information on the day you sign our agreement. When you are no longer our client, we may continue to share your

information as described in this notice. However, you can contact us at any time to limit our sharing.

### PROTECTING THE CONFIDENTIALITY OF CURRENT AND FORMER CLIENTS' INFORMATION

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including physical, technological and procedural safeguards.

### FEDERAL LAW GIVES YOU THE RIGHT TO LIMIT SHARING - OPTING OUT

Federal law allows you the right to limit the sharing of your NPI by opting out of the following: sharing for non-affiliates' everyday business purposes or sharing with affiliates or non-affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing. Please notify us immediately if you choose to opt out of these types of sharing.

### DEFINITIONS

Affiliates are companies related by common ownership or control. They can be financial and non-financial companies.

Non-affiliates are companies not related by common ownership or control. They can be financial and non-financial companies.

*Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.*